



PATENT
Docket No. 316082000121

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents, Washington, D.C. 20231, on December 12, 2002.

Denise Lade

Denise Lade

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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AUG 13 2002
TECH GEN

In the application of:

Michael D. DAN

Serial No.: 09/194,164

Filing Date: April 9, 1999

For: ANTIGEN BINDING FRAGMENTS THAT
SPECIFICALLY DETECT CANCER CELLS,
NUCLEOTIDES ENCODING THE
FRAGMENTS, AND USE THEREOF FOR THE
PROPHYLAXIS AND DETECTION OF
CANCERS

Examiner: G. Bansal

Group Art Unit: 1642

STATUS INQUIRY

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

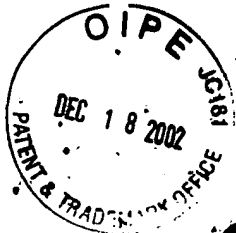
Please provide the status of the second Request for Corrected Filing Receipt submitted April 1, 2002 (copy enclosed). As of yet, Applicant has not received a corrected Filing Receipt.

Respectfully submitted,

Dated: December 12, 2002

By: *Cara M. Coburn*

Cara M. Coburn
Registration No. 46,631
Morrison & Foerster LLP
755 Page Mill Road
Palo Alto, California 94304-1018
Telephone: (650) 813-4218
Facsimile: (650) 494-0792



COPY

PATENT
Docket No. 316082000121
Client Reference No. IN-013-IS-1A14

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

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Martina Placid
Martina Placid

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AUG 13 2003
TECH CENTER 1600/2900

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Michael D. DAN et al.

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USE THEREOF FOR THE
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Examiner: G. Bansal

Group Art Unit: 1642

SECOND REQUEST FOR A CORRECTED FILING RECEIPT

Office of Initial Patent Examination
Customer Service Center
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In response to the Response to Request for Corrected Filing Receipt mailed March 13, 2002, please furnish the undersigned with a corrected Filing Receipt for the above-identified application. The corrections needed are as follows:

Continuing Data as Claimed by Applicant

After "THIS APPLN IS A 371 OF PCT/US97/08962 05/22/97"

COPY

Please insert --WHICH IS AN INTERNATIONAL APPLICATION OF 08/657,449
05/22/96 ABN--

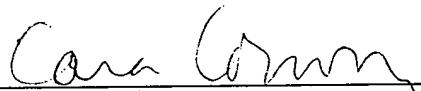
A copy of the marked-up Filing Receipt is attached.

In the unlikely event that the transmittal letter is separated from this request and the Patent Office determines that a fee is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 (referencing Docket No. 316082000121). However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

Dated: April 1, 2002

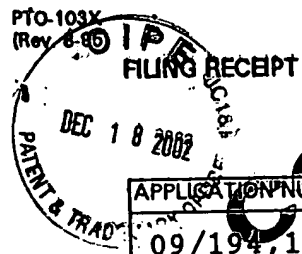
By:


Cara M. Coburn
Registration No. 46,631

for

Gladys H. Monroy
Registration No. 32,430

Morrison & Foerster LLP
755 Page Mill Road
Palo Alto, California 94304-1018
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UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTORNEY DOCKET NO. | DRWGS | TOT CL | IND CL |
|--------------------|-------------|--------------|---------------|---------------------|-------|--------|--------|
| 09/194,164 | 04/09/99 | 1633 | \$1,952.00 | 316082000121 | 20 | 50 | 7 |

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SUSAN K LEHNHARDT
MORRISON & FOERSTER
1290 AVENUE OF THE AMERICAS
NEW YORK NY 10104-0012

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

MICHAEL D. DAN, SCARBOROUGH, CANADA; HOWARD A. KAPLAN,
WINNIPEG, CANADA; PRADIP MAITI, WINNIPEG, CANADA.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A 371 OF PCT/US97/08962 05/22/97
WHICH IS AN INTERNATIONAL APPLICATION OF 08/657,449 05/22/96 ABN

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 05/12/99

TITLE

ANTIGEN BINDING FRAGMENTS THAT SPECIFICALLY DETECT CANCER CELLS,
NUCLEOTIDES ENCODING THE FRAGMENTS, AND USE THEREOF FOR THE
PROPHYLAXIS AND DETECTION OF CANCERS

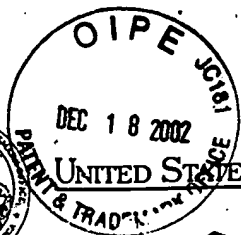
PRELIMINARY CLASS: 435

DATA ENTRY BY: ORDONEZ, MARTA

TEAM: 11 DATE: 05/13/99



(see reverse)



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | FIRST NAMED APPLICANT | ATTY. DOCKET NO./TITLE |
|--------------------|-------------|-----------------------|------------------------|
| 09/19/01 31 | 04/09/02 | MICHAEL D. DAN | 316082000121 |

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MAR 18 2002

CONFIRMATION NO. 2875



OC000000007632435

MORRISON AND FOERSTER Morrison & Foerster, LLP
755 PAGE MILL ROAD Palo Alto
PALO ALTO, CA 94304-5711

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Date Mailed: 03/13/2002

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RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT AUG 13 2003

Claims, Fees, Inventors and Continuity

TECH CENTER 1600/2900

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

- ☐ The total number of claims appearing on the Filing Receipt does not include multiple dependent claims. The total fee appearing on the Filing Receipt includes the cost of multiple dependent claims that were present at the time the application was filed.
- ☐ The filing fee is correct. It includes the surcharge under 37 CFR 1.16(e) for filing an oath/declaration or basic filing fee after the application filing date.
- ☐ The inventor information may be truncated if the family name consists of more than 50 characters (letters and spaces combined) and if the given name consists of more than 50 characters (letters and spaces combined). The inventor's residence allows for up to 40 characters (letters and spaces combined).
- ☐ The docket number allows a maximum of 25 characters.
- ☒ Continuity claimed under 35 USC 120 cannot be added to the Filing Receipt without supplying the relationship (i.e. continuation, divisional...).
- ☐ Foreign priority will appear on the Filing Receipt in the following order:
Country, Application number, Filing date.
- ☐ The person signing on behalf of the deceased inventor will be reflected on your Filing Receipt as the legal representative.
- ☐ Only one character per space is allowed. Therefore, punctuation which is usually placed above or below a letter, such as an umlaut (.), cannot be included on the Filing Receipt.

Any corrections that may need to be done to your Filing Receipt should be directed to:

DOCKET

MC

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Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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DT07 Rec'd PCT/PTO 18 DEC 2002

PTO/SB/21 (08-00)

Approved for use through 10/31/02. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

| | |
|----------------------|----------------|
| Application Number | 09/194,164 |
| Filing Date | April 9, 1999 |
| First Named Inventor | Michael D. DAN |
| Group Art Unit | 1642 |
| Examiner Name | G. Bansal |
| Attorney Docket No. | 316082000121 |

Total Number Of Pages In This Submission

9

ENCLOSURES (check all that apply)

- | | | |
|---|--|---|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers (for an Application) | <input type="checkbox"/> After Allowance Communication to Group |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment / Reply | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declarations | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input checked="" type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | Copy of original papers submitted April 1, 2002 |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | • Copy of postcard sent and received back from PTO |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) _____ | • Copy of Transmittal Form |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application | | • Copy of Second Request for a Corrected Filing Receipt (2 pages) |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | • Copy of marked-up Filing Receipt |
| | | • Copy of Response to Request for Corrected Filing Receipt (2 pages) |
| | | Return receipt postcard |

Remarks

SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

| | |
|-------------------------|--|
| Firm or Individual Name | Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, CA 94304 |
| Signature | <i>Cara M. Coburn</i> |
| Date | December 12, 2002 |

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Denise Lade
Denise Lade

Burden Hours Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



COPY

ATTORNEY DOCKET: 316082000121
SERIAL NO.: 09/194,164
FILING DATE: April 9, 1999
INVENTOR(S): Michael D. DAN

MAILING DATE: April 1, 2002
ATTY/Secy: CMC9/mxp4

TITLE: ANTIGEN BINDING FRAGMENTS THAT SPECIFICALLY DETECT CANCER CELLS,
NUCLEOTIDES ENCODING THE FRAGMENTS, AND USE THEREOF FOR THE
PROPHYLAXIS AND DETECTION OF CANCERS

Papers enclosed herewith:

1. Transmittal (1 page)
2. Second Request for a Corrected Filing Receipt (2 pages)
3. Copy of Marked-up Filing Receipt (1 page)
4. Copy of Response to Request for Corrected Filing Receipt (2 pages)

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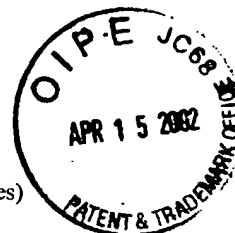
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